



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Post Office as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on

Date: September 25, 2001

Name: Lisa M. Davis

Signature: *Lisa M. Davis*
Clifford Chance Rogers & Wells LLP

Docket No. 3499-120

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Andrew J.R. Smith et al.

Filed: May 31, 2001

Group Art Unit: 2152

Serial No: 09/871,176

Examiner: TBA

For: METHOD AND SYSTEM FOR PROCESSING RAW FINANCIAL
DATA STREAMS TO PRODUCE AND DISTRIBUTE STRUCTURED
AND VALIDATED PRODUCT OFFERING DATA TO
SUBSCRIBING CLIENTS

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner for Patents
BOX: MISSING PARTS
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts (copy enclosed) dated August 1, 2001 received for the above identified application, enclosed please find the following:

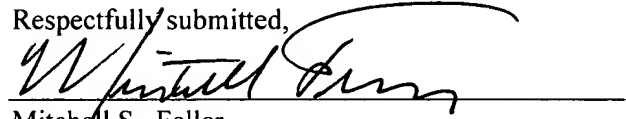
1. ☒ Executed Declaration and Power of Attorney
☐ Copy of Executed Declaration and Power of attorney from a prior application
2. ☒ Substitute Formal Drawings

Please make the Declaration and Power of Attorney of record in the above-identified application.

The Commissioner is hereby authorized to charge the late submission surcharge of \$130.00 and any additional fee, or credit any overpayment to Deposit Account No. 50-0521. A duplicate copy of this transmittal is enclosed.

Date: September 25, 2001

Respectfully submitted,



Mitchell S. Feller

Reg. No. 42,530

Clifford Chance Rogers & Wells LLP
200 Park Avenue
New York, NY 10166-0153
Telephone: (212) 878-8545



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SUBMISSION OF SUBSTITUTE FORMAL DRAWINGS

Commissioner for Patents
Attn: Official Draftperson
Washington, D.C. 20231

Sir:

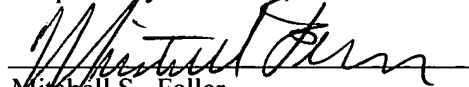
In response to the Notice of Drawing Requirements mailed on August 1, 2001 (copy enclosed), enclosed please find Substitute Formal Drawings illustrating Figs. 1-10 (10 sheets) for the above-identified application and which replace the corresponding originally submitted drawings. No new matter has been added.

It is respectfully requested that these drawings be approved by the Official Draftsman and made of record in the file of this case.

The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this request, or to credit any overpayment to Deposit Account No. 50-0521. A duplicate copy of this transmittal is enclosed.

Date: September 25, 2001

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Mitchell S. Feller", is written over a horizontal line.

Mitchell S. Feller

Reg. No. 42,530

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